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<p>(21) International Application Number: PCT/US97/14372  (22) International Filing Date: 13 August 1997 (13.08.97)  (30) Priority Data: 08/700,182 20 August 1996 (20.08.96) US  (71) Applicant (for all designated States except US): MOTOROLA INC. [US/US]; 1303 East Algonquin Road, Schaumburg, IL 60196 (US).  (72) Inventors; and (75) Inventors/Applicants (for US only): REBER, William, L. [US/US]; 1029 Bucanier Road #6, Schaumburg, IL 60916 (US). ACKLEY, Donald, E. [US/US]; 2033 Cambridge Avenue, Cardiff, CA 92007 (US). PERTTUNEN, Cary, D. [US/US]; 11764 Raintree Court, Shelby Township, MI 48315 (US).  (74) Agents: SARLI, Anthony, J., Jr. et al.; Motorola Inc., Intellec- tual Property Dept., 1303 East Algonquin Road, Schaum- burg, IL 60196 (US).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
<p>(54) Title: METHOD AND APPARATUS FOR DETECTING PREDETERMINED MOLECULAR STRUCTURES IN A SAMPLE</p>		
<p>(57) Abstract</p> <p>A predetermined molecular structure in a sample is detected by sensing a pattern in which the sample binds to an array of binding sites in a molecular detection device (20), and comparing the pattern to a reference pattern to detect the predetermined molecular structure in the sample (22). In one embodiment, the reference pattern is generated by sensing a pattern in which a reference sample containing the predetermined molecular structure binds to a like array of binding sites. In another embodiment, the reference pattern is generated by predicting a pattern in which the predetermined molecular structure binds to the array of binding sites.</p>		

5           METHOD AND APPARATUS FOR DETECTING PREDETERMINED  
            MOLECULAR STRUCTURES IN A SAMPLE

            Field of the Invention

10           The present invention relates to methods and  
            system for molecular detection.

            Background of the Invention

15           An increased effort has been directed toward  
            the development of chips for molecular detection.  
            Typically, a molecular detection chip includes a  
            substrate on which an array of binding sites is  
            arranged. Each binding site, or hybridization  
20           site, has a respective molecular receptor which  
            binds or hybridizes with a molecule having a  
            predetermined structure.

            A sample solution is applied to the molecular  
            detection chip, and molecules in the sample bind or  
25           hybridize at one or more of the binding sites. The  
            particular binding sites at which hybridization  
            occurs are detected, and one or more molecular  
            structures within the sample are subsequently  
            deduced.

30           Of great interest are molecular detection  
            chips for gene sequencing. These chips, often  
            referred to as DNA chips, utilize an array of  
            selective binding sites each having respective  
            single-stranded DNA probes. A sample of single-  
35           stranded DNA fragments, referred to as target DNA,  
            is applied to the DNA chip. The DNA fragments  
            attach to one or more of the DNA probes by a  
            hybridization process. By detecting which DNA  
            probes have a DNA fragment hybridized thereto, a

5           FIG. 6 illustrates a reference pattern for detecting an a-c-t nucleotide sequence in a sample;

          FIG. 7 is an example of a pattern generated by a sample having an unknown molecular structure;

10           FIG. 8 is a flow chart of additional steps which can be utilized to detect the predetermined molecular structure;

          FIG. 9 is a block diagram of an apparatus for detecting a predetermined molecular structure in a sample; and

15           FIG. 10 is a flow chart of an embodiment of a method of gene discovery in accordance with the present invention.

#### Detailed Description of a Preferred Embodiment

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          Embodiments of the present invention advantageously provide improved information processing approaches to detecting predetermined molecular structures using a miniaturized device having an array of biological sensors. Just as semiconductor devices are designed to perform specific functions, a diagnostic device in accordance with the present invention is designed to perform one or more specific diagnostic tests.

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          FIG. 1 is a flow chart of an embodiment of a method of detecting a predetermined molecular structure in a sample. In general, the method can be utilized for detection of a variety of molecular structures in a variety of different types of samples. Examples of the different types of samples include, but are not limited to, medical samples, environmental samples, agricultural samples, and other samples applicable to diagnostics.

5 hybridizes with a molecule having a predetermined structure.

Each molecular receptor typically includes a biological or synthetic molecule having a specific affinity to the molecule to be detected. Of  
10 particular interest is a molecular receptor having a chain of at least one nucleotide to hybridize with a molecule having a complementary chain of at least one nucleotide. Here, for example, the molecular receptor can include a DNA probe for  
15 detecting a corresponding, complementary DNA sequence in the sample.

It is noted, however, that the scope of the invention is not limited to sensing the hybridization of DNA molecules. For example,  
20 embodiments of the present invention can be utilized to detect RNA hybridization and antibody-antigen binding events. As another alternative, the molecular detection device can include an array of detection sites, such as in the context of an  
25 oligonucleotide ligation assay (OLA). Using a ligase chain reaction, pairs of oligonucleotides are utilized to amplify a selected oligonucleotide sequence. To detect the selected oligonucleotide sequence, a corresponding detection site is  
30 screened for full-length ligated oligonucleotides using any of the sensing approaches described herein.

As indicated by block 12, an optional step of tagging molecules within the sample is performed.  
35 Each molecule is tagged with a member which can be sensed by the molecular detection device. Such members are commonly referred to in the art as tags, markers, and labels. Examples of such members include, but are not limited to,

5           After hybridization, an optional step of  
removing unwanted molecules from the binding sites  
can be performed, as indicated by block 18. The  
step of removing unwanted molecules can be  
10           performed by generating an electric field having  
the same polarity as the charge of the unwanted  
molecules. The electric field acts to repel  
unwanted molecules from the binding sites. As an  
alternative to, or in conjunction with, the field-  
15           based approach, a thermally-assisted approach can  
be utilized to remove unwanted molecules. Here,  
the temperature at the binding sites is raised, in  
dependence upon a melting temperature, to  
dissociate partially-bound molecules from the  
20           molecular receptors. Regardless of the approach  
utilized, the unwanted molecules to be dehybridized  
can include unbound molecules and partially-bound  
(i.e. non-specifically bound) molecules.

          Typically, the step of removing unwanted  
molecules does not remove all unwanted molecules  
25           from the binding sites. This step is beneficial,  
however, in improving the accuracy of detection as  
outlined in subsequent steps.

          As indicated by block 20, the method includes  
a step of sensing a pattern in which the sample  
30           binds to an array of binding sites in a molecular  
detection device. The pattern can be sensed using  
a variety of approaches, including but not limited  
to, optical approaches, radioactive-sensing  
approaches, electronic approaches, and magnetic  
35           approaches. The specific approach utilized depends  
upon the type of tagging member attached to the  
molecules in the sample.

          Preferably, the step of sensing the pattern  
includes sensing an intensity or a magnitude of

5       determining a difference between the pattern and the reference pattern. Here, the predetermined molecular structure can be detected when a measure of the difference is within a predetermined range.

10       For optical sensing embodiments, the step of comparing includes a step of comparing at least one image of the pattern to an image of the reference pattern.

15       As indicated by block 24, the method optionally comprises a step of determining a confidence level of detecting the predetermined molecular structure in the sample. The confidence level indicates a degree of significance of the result obtained in the step of comparing in block 22.

20       To screen the sample for a plurality of different molecular structures, the steps indicated by blocks 22 and 24 can be repeated for a plurality of different reference patterns. Here, for example, a genomic sample can be screened to  
25       determine if it contains any of a plurality of predetermined base sequences.

FIG. 2 is a flow chart of an embodiment of a method of generating the reference pattern. Typically, the reference pattern is generated prior  
30       to performing the steps indicated in FIG. 1.

35       As indicated by block 30, the method includes a step of providing a reference device having a like array of binding sites as the molecular detection device used for detection. If desired, the same molecular detection device can be utilized for generating the reference pattern and for subsequent detection of an unknown molecular structure in a sample. Typically, however, another like device is utilized.

5 molecular structure. Alternatively, the steps indicated by blocks 30, 32, 34, 36, 38, 40, and 42 can be repeated to apply the same predetermined molecular structure to a number of like reference devices. Either approach may be utilized to  
10 provide a plurality of reference patterns for the same predetermined molecular structure.

Sensed patterns formed by a sample having an unknown molecular structure can be compared to each of the above-described plurality of reference  
15 patterns, or a statistical model thereof, to detect the predetermined molecular structure in the sample.

FIG. 3 is a flow chart of another embodiment of a method of generating the reference pattern. As indicated by block 50, the method includes a  
20 step of determining an architecture of the array of binding sites of the molecular detection device. This step can include determining a layout of the binding sites, and determining the type of  
25 molecular receptor at each of the binding sites.

As indicated by block 52, the method includes a step of predicting a reference pattern in which the predetermined molecular structure binds to the array of binding sites. The reference pattern is  
30 predicted based upon the predetermined molecular structure and the architecture of the molecular detection device. Preferably, the reference pattern includes a predicted intensity of binding at each of the binding sites.

35 Regardless of the approach taken, the reference pattern acts as a novelty filter which is predictive of a successful or a desirable test result.

5 as binding sites indicated by reference numeral 64, have a lesser intensity of binding. Those binding sites having two mismatching complementary bases, such as those indicated by reference numeral 66, have an even lesser intensity of binding. Binding  
10 sites with no matching complementary bases, such as those indicated by reference numeral 68, have a low intensity of binding.

FIG. 6 illustrates a reference pattern for detecting an a-c-t nucleotide sequence in a sample. The reference patterns in FIGS. 5 and 6 can be  
15 sensed using a reference sample, or can be predicted based on the number of mismatching bases at each binding site.

For purposes of illustration, the sequences in this example are assumed to have a specific  
20 orientation. As a result, an a-c-t sequence and a t-c-a sequence do not specifically hybridize at the same binding site. It is noted, however, that this should not be construed as a limitation in the  
25 scope of the present invention.

FIG. 7 is an example of a pattern generated by a sample having an unknown molecular structure. The pattern is generated by applying a sample of  
30 tagged single-stranded DNA molecules to the molecular detection device, allowing the molecules to hybridize to the binding sites, and optionally removing unwanted molecules.

The resulting pattern shows a high intensity of binding at a t-g-a site 70. If standard  
35 detection techniques were utilized, one would conclude that the sample includes an a-c-t nucleotide sequence (i.e. the complement of t-g-a). However, the overall pattern is better correlated to the reference pattern for the a-c-g nucleotide



5           selected binding sites, or can be modified for all of the binding sites.

          Thereafter, a step of sensing a pattern, indicated by block 82, is performed. By repeatedly raising the temperature and sensing a resulting  
10          pattern, a plurality of temperature-dependent patterns is generated.

          As indicated by block 84, a step of comparing at least one of the plurality of temperature-dependent patterns to a corresponding at least one of a plurality of temperature-dependent reference  
15          patterns is performed. Here, for example, each of the temperature-dependent patterns can be compared to a corresponding one of the temperature-dependent reference patterns. Alternatively, only selected  
20          ones of the temperature-dependent patterns can be compared to corresponding reference patterns. A correlation measure and/or a difference measure is computed based on this comparison. A predetermined molecular structure is detected when the measure is  
25          within a predetermined range.

          In one embodiment, the temperature-dependent pattern having a greatest variability of intensity is selected for comparison. The variability of intensity is greatest at a temperature which  
30          dissociates many non-specifically-bound molecules, but does not significantly dissociate specifically-bound molecules. This pattern can be compared with a corresponding reference pattern to detect a predetermined molecular structure. It is noted  
35          that a variety of different measures of variability can be utilized, including but not limited to, sample variance and sample standard deviation.

          FIG. 9 is a block diagram of an apparatus for detecting a predetermined molecular structure in a

5 present invention. As indicated by block 100, the method includes a step of sensing a pattern of detection for a sample applied to a molecular  
10 detection device having a plurality of detection sites. The sample is taken from a first species having unknown genes to be discovered. Any of the various approaches described herein can be utilized for sensing the pattern.

As indicated by block 12, the method includes a step of determining an architecture of the  
15 plurality of detection sites of the molecular detection device.

A step of reading a nucleotide sequence from a database is performed, as indicated by block 104. In general, any nucleotide sequence can be read.  
20 Of particular interest, however, is a nucleotide sequence from a second species other than the first species. For example, the nucleotide sequence can include a gene from a fruit fly, while the sample in which gene discovery is to be performed is from  
25 a human.

As indicated by block 106, the method includes a step of predicting a reference pattern which would be detected if the nucleotide sequence were applied to the molecular detection device. As  
30 indicated by block 108, a step of comparing the pattern to the reference pattern is performed to determine whether the nucleotide sequence is within the sample.

The steps of reading a nucleotide sequence from the database, predicting a reference pattern for the nucleotide sequence, and comparing the pattern to the reference pattern are repeated to  
35 discover the presence of genes across different species.

1. A method of detecting a predetermined molecular structure in a sample, the method comprising the steps of:

10       sensing a pattern in which the sample binds to an array of binding sites in a molecular detection device; and

      comparing the pattern to a reference pattern to detect the predetermined molecular structure in  
15       the sample.

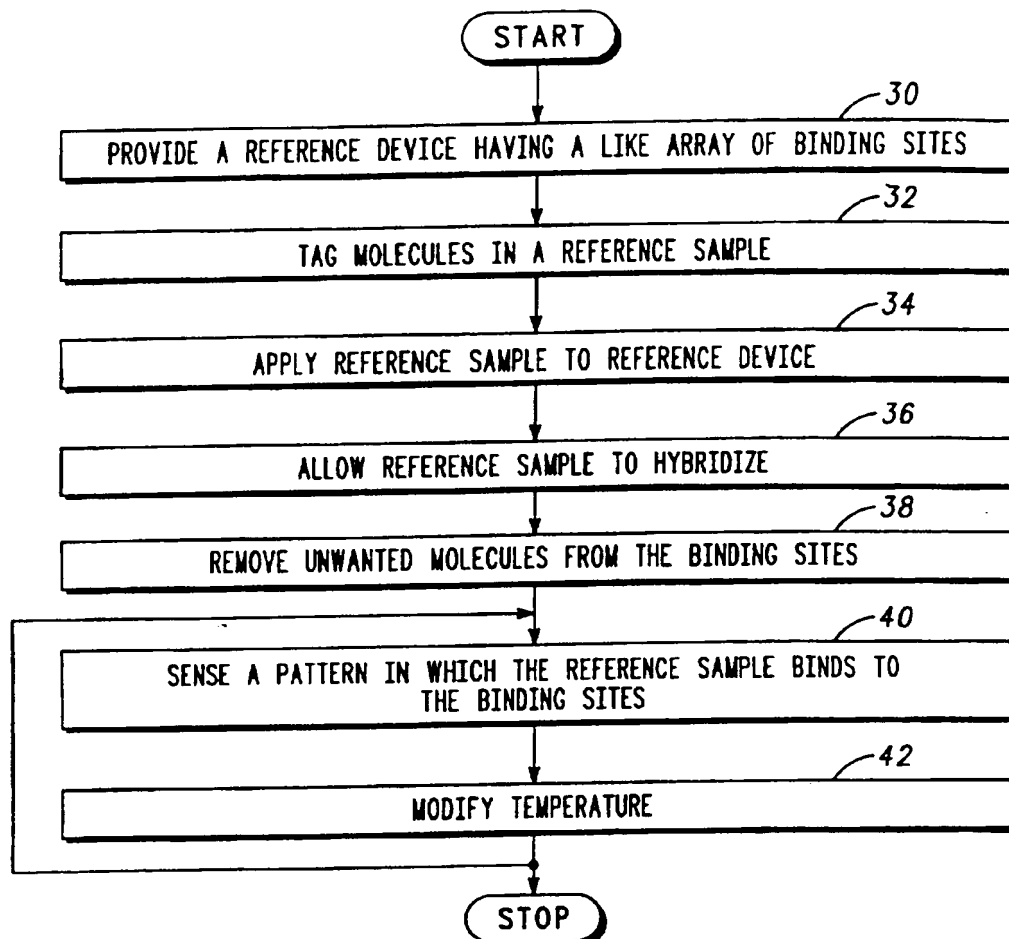
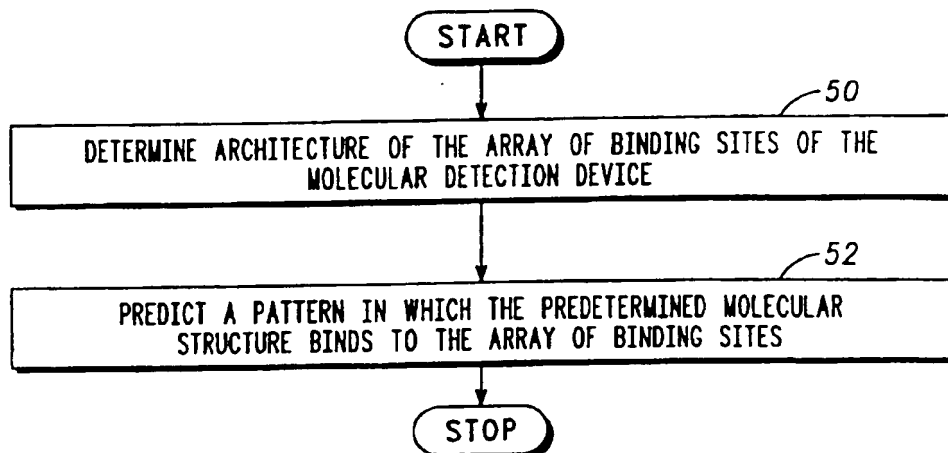
2. The method of claim 1 further comprising the step of determining a confidence level of detecting the predetermined molecular structure in  
20       the sample.

3. The method of claim 1 wherein the step of comparing includes at least one of determining a difference between the pattern and the reference pattern, determining a correlation between the  
25       pattern and the reference pattern, comparing at least one image of the pattern to an image of the reference pattern, comparing a plurality of temperature-dependent patterns to a plurality of  
30       temperature-dependent reference patterns.

4. The method of claim 1 wherein an intensity level of at least one of the binding sites is indicative of at least one of molecules bound at a  
35       respective binding site, a binding strength at a respective binding site, and a melting temperature at a respective binding site.

- 5        array of binding sites or by predicting a pattern  
         in which the predetermined molecular structure  
         binds to the array of binding sites.

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**FIG. 2****FIG. 3**

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AAA	AAC	ACA	ACA	CAA	CAC	CCA	CCC
AAG	AAT	ACG	ACT	CAG	CAT	CCG	CCT
AGA	AGC	ATA	ATC	CGA	CGC	CTA	CTC
AGG	AGT	ATG	ATT	CGG	CGT	CTG	CTT
GAA	GAC	GCA	GCC	TAA	TAC	TCA	TCC
GAG	GAT	GCG	GCT	TAG	TAT	TCG	TCT
GGA	GGC	GTA	GTC	TGA	TGC	TTA	TTT
GGG	GGT	GTG	GTT	TGG	TGT	TTG	TTT

*FIG. 6*

AAA	AAC	ACA	ACA	CAA	CAC	CCA	CCC
AAG	AAT	ACG	ACT	CAG	CAT	CCG	CCT
AGA	AGC	ATA	ATC	CGA	CGC	CTA	CTC
AGG	AGT	ATG	ATT	CGG	CGT	CTG	CTT
GAA	GAC	GCA	GCC	TAA	TAC	TCA	TCC
GAG	GAT	GCG	GCT	TAG	TAT	TCG	TCT
GGA	GGC	GTA	GTC	TGA	TGC	TTA	TTT
GGG	GGT	GTG	GTT	TGG	TGT	TTG	TTT

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*FIG. 7*

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/US97/14372

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : Please See Extra Sheet.

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 204/153.1, 400, 403; 435/6, 283.1, 287.1, 287.2; 436/518, 524, 807, 809

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, CAPLUS, MEDLINE, WPIDS, SCISEARCH, MEDLINE, EMBASE, BIOSIS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,532,128 A (EGGERS et al) 02 July 1996. See entire document.	1-10
X	PEASE AC. Light-generated Oligonucleotide Arrays for Rapid DNA Sequence Analysis. Proc. Natl. Acad. Sci. May 1994. Vol 91. pages 5022-5026, especially Abstract.	1-10
X	STIMPSON DI. Real-time Detection of DNA Hybridization and Melting On Oligonucleotide Arrays by using Optical Wave Guides. Proc. Natl. Acad. Sci. July 1995. Vol 92. pages 6379-6383, especially Abstract	1-10
A,P	US 5,605,662 A (HELLER et al) 25 February 1997.	1-10

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

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204/153.1, 400, 403; 435/6, 283.1, 287.1, 287.2; 436/518, 524, 807, 809